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PTO/SB/21 (6-98)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/800,863 또
Filing Date	March P POOL S
First Named Inventor	Busby Aug
Group Art Unit	1636 NOT 2 2001 E
Examiner Name	TECH CENTER 1600/29/
Attorney Docket Number	109272.148

ENCLOSURES (check all that apply)				
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group		
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information		
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter		
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below)		
Express Abandonment Request Information Disclosure Statemer	Small Entity Statement	Status Letter Additional Enclosure(s) (please identify below) Postcard AUG 3 Pechnology Center 2		
Certified Copy of Priority Document(s)	Remarks	ter 2		
Response to Missing Parts/ Incomplete Application	Response to Notice to File Corrected Application Papers;			
Response to Missing Parts under 37 CFR 1.52 or 1.53 Papers; Part 2 of Copy of Notice to File Corrected Application Papers				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name Wayne A. Keown, Ph.D., Reg. No. 33,923, Hale and Dorr LLP				
Signature W, a. K.				
Signature W. O. K. Date 11 June 2001				

Date Signature Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an

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Patricia Corrigan

Typed or printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Busby, et al.

Serial No.:

09/800,863

Filed:

March 7, 2001

Entitled:

METHODS FOR IMPROVING SECONDARY

METABOLITE PRODUCTION IN FUNGI

Attorney Docket No.: 109272.148

Assistant Commissioner of Patents
Washington, D.C. 20231

Washington, D.C. 20231

Examiner: TBA

CENTER 16

Group Art Unit: 1636

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TECH CENTER 100

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: \lune 11, 2001

Patricia Corrigan

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers mailed May 1, 2001, enclosed for filing in the above-identified patent application are the following documents:

- 1. Two sheets of formal drawings (Figures 1-2) in compliance with 37 CFR 1.84 to be substituted for the drawings currently on file;
- 2. Part 2 Copy of Notice to File Corrected Application Papers; and
- 3. Return postcard.

No fees are believed to be. However, please apply any additional charges or credit any overpayment in connection with this matter to our Deposit Account No. 08-0219.

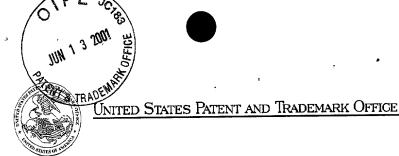
Respectfully submitted,

Date: 11 June 2001

Wayne X. Keown, Ph.D. Attorney for Applicant Reg. No. 33,923

Hale and Dorr LLP 60 State Street Boston, MA 02109 Tel: (617) 526-6000

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/800.863

Robert Busby

109272.

23483

HALE AND DORR, LLP **60 STATE STREET** BOSTON, MA 02109



CONFIRMATION NO. 1854

FORMALITIES LETTER



Date Mailed: 05/01/2001
RECEIVED

NOTICE TO FILE CORRECTED APPLICATION PAPERS

AUG 3 1 2001

Filing Date Granted

TECH CENTER 1600/2900

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE